

ATTACHMENT C

**CERTIFICATIONS
SF424
SUBMISSION LETTER**

COMMONWEALTH OF VIRGINIA

AttCCertifications-SF424.pdf



Appendix F

Certification

Certifications waiver and alternative requirement. Sections 91.325 and 91.225 of title 24 of the Code of Federal Regulations are waived. Each State or UGLG applying for an award under this NOFA must make the following certifications with both its Phase 1 and, if invited by HUD, its Phase 2 application for CDBG-NDR funding.

a. The grantee certifies that it will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within its jurisdiction and take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard (see 24 CFR 570.487(b)(2) and 570.601(a)(2)). In addition, the grantee certifies that agreements with subrecipients will meet all civil rights related requirements pursuant to 24 CFR 570.503(b)(5).

b. The grantee certifies that it has in effect and is following a residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the CDBG program.

c. The grantee certifies its compliance with restrictions on lobbying required by 24 CFR part 87, together with disclosure forms, if required by part 87.

d. The grantee certifies that the Community Development Block Grant National Disaster Resilience application is authorized under State and local law (as applicable) and that the grantee, and any contractor, subrecipient, or designated public agency carrying out an activity with CDBG–NDR funds, possess(es) the legal authority to carry out the program for which it is seeking funding, in accordance with applicable HUD regulations and this NOFA.

e. The grantee certifies that activities to be administered with funds under this NOFA are consistent with its Application.

f. The grantee certifies that it will comply with the acquisition and relocation requirements of the URA, as amended, and implementing regulations at 49 CFR part 24, except where waivers or alternative requirements are provided for in this NOFA.

g. The grantee certifies that it will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

h. The grantee certifies that it is following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105 or 91.115, as applicable (except as provided for in notices providing waivers and alternative requirements for this grant). Also, each UGLG receiving assistance from a State grantee must follow a detailed citizen participation plan that satisfies the requirements of 24 CFR 570.486 (except as provided for in notices providing waivers and alternative requirements for this grant).

i. Each State receiving a direct award under this Notice certifies that it has consulted with affected UGLGs in counties designated in covered major disaster declarations in the non-entitlement, entitlement, and tribal areas of the State in determining the uses of funds, including method of distribution of funding, or activities carried out directly by the State.

j. The grantee certifies that it is complying with each of the following criteria:

(1) Funds will be used solely for necessary expenses related to disaster relief, long-term recovery, restoration of infrastructure and housing, and economic revitalization in the most impacted and distressed areas for which the President declared a major disaster in the aftermath of an event occurring in 2011, 2012, or 2013, pursuant to the Stafford Act.

(2) With respect to activities expected to be assisted with CDBG–NDR funds, the

Application has been developed so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families.

(3) The aggregate use of CDBG–NDR funds shall principally benefit low- and moderate-income families in a manner that ensures that at least 50 percent of the grant amount is expended for activities that benefit such persons, unless waived by HUD based on a finding of compelling need.

(4) The grantee will not attempt to recover any capital costs of public improvements assisted with CDBG–NDR grant funds, by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless: (a) disaster recovery grant funds are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other than under this title; or (b) for purposes of assessing any amount against properties owned and occupied by persons of moderate income, the grantee certifies to the Secretary that it lacks sufficient CDBG funds (in any form) to comply with the requirements of clause (a).

k. The grantee certifies that it (and any subrecipient or recipient) will conduct and carry out the grant in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601–3619) and implementing regulations.

l. The grantee certifies that it has adopted and is enforcing the following policies. In addition, a State receiving a direct award must certify that it will require any UGLG that receives grant funds to certify that it has adopted and is enforcing:

- (1) A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in nonviolent civil rights demonstrations; and
- (2) A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such nonviolent civil rights demonstrations within its jurisdiction.
- m. Each State or UGLG receiving a direct award under this Notice certifies that it (and any subrecipient or recipient) has the capacity to carry out the activities proposed in its Application in a timely manner; or the State or UGLG will develop a plan to increase capacity where such capacity is lacking.
- n. The grantee will not use grant funds for any activity in an area delineated as a special flood hazard area or equivalent in FEMA's most recent and current data source unless it also ensures that the action is designed or modified to minimize harm to or within the floodplain in accordance with Executive Order 11988 and 24 CFR part 55. The relevant data source for this provision is the latest issued FEMA data or guidance, which includes advisory data (such as Advisory Base Flood Elevations) or preliminary and final Flood Insurance Rate Maps.
- o. The grantee certifies that its activities concerning lead-based paint will comply with the requirements of 24 CFR part 35, subparts A, B, J, K, and R.
- p. The grantee certifies that it will comply with applicable laws.
- q. The grantee certifies that it has reviewed the requirements of this NOFA and requirements of Public Law 113-2 applicable to funds allocated by this Notice, and that it has in place proficient financial controls and procurement processes and has established adequate procedures to prevent any duplication of benefits as defined by section 312 of the Stafford Act, to ensure timely expenditure of funds, to maintain comprehensive Web sites regarding all disaster recovery activities assisted with these funds, and to detect and prevent waste, fraud, and abuse of funds.



Grant Application Package

Opportunity Title:	National Resilient Disaster Recovery Phase TWO
Offering Agency:	US Department of Housing and Urban Development
CFDA Number:	14.272
CFDA Description:	National Resilient Disaster Recovery Competition
Opportunity Number:	FR-5800-N-29A2
Competition ID:	FR-5800-N-29A2
Opportunity Open Date:	06/24/2015
Opportunity Close Date:	10/27/2015
Agency Contact:	Dorthera Yorkshire Dorthera.Yorkshire@hud.gov

This opportunity is only open to organizations, applicants who are submitting grant applications on behalf of a company, state, local or tribal government, academia, or other type of organization.

Application Filing Name: ThRIVE - Resilience in Virginia

Select Forms to Complete

Mandatory

<u>Application for Federal Assistance (SF-424)</u>	Complete
<u>HUD Facsimile Transmittal</u>	Complete

Optional

<input checked="" type="checkbox"/> <u>Attachments</u>	Complete
<input checked="" type="checkbox"/> <u>Disclosure of Lobbying Activities (SF-LLL)</u>	Complete
<input checked="" type="checkbox"/> <u>HUD Applicant-Recipient Disclosure Report</u>	Complete

Instructions

[Show Instructions >>](#)

This electronic grants application is intended to be used to apply for the specific Federal funding opportunity referenced here. If the Federal funding opportunity listed is not the opportunity for which you want to apply, close this application package by clicking on the "Cancel" button at the top of this screen. You will then need to locate the correct Federal funding opportunity, download its application and then apply.

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: Completed by Grants.gov upon submission.	4. Applicant Identifier: <input type="text"/>	
5a. Federal Entity Identifier: <input type="text"/>	5b. Federal Award Identifier: <input type="text"/>	
State Use Only:		
6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="Commonwealth of Virginia"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="54-1083047"/>	* c. Organizational DUNS: <input type="text" value="8093918810000"/>	
d. Address:		
* Street1: <input type="text" value="Main Street Centre"/>	Street2: <input type="text" value="600 East Main Street, Suite 300"/>	
* City: <input type="text" value="Richmond"/>	County/Parish: <input type="text"/>	
* State: <input type="text" value="VA: Virginia"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="23219-2416"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="DHCD"/>	Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Chris"/>	
Middle Name: <input type="text"/>	* Last Name: <input type="text" value="Thompson"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="Deputy Director - Housing"/>	
Organizational Affiliation: <input type="text" value="Department of Housing and Community Development"/>		
* Telephone Number: <input type="text" value="804-371-7031"/>	Fax Number: <input type="text"/>	
* Email: <input type="text" value="chris.thompson@dhcd.virginia.gov"/>		

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

US Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.272

CFDA Title:

National Resilient Disaster Recovery Competition

*** 12. Funding Opportunity Number:**

FR-5800-N-29A2

* Title:

National Resilient Disaster Recovery Phase TWO

13. Competition Identification Number:

FR-5800-N-29A2

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

SF424_2_1-1249-Hampton Roads Region_Map.pdf

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Virginia's ThrIVE - Resilience in Virginia Proposal

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="493,847,424.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="5,250,000.00"/>
* d. Local	<input type="text" value="410,597,912.00"/>
* e. Other	<input type="text" value="59,493,204.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="969,188,540.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

William C Shelton 10/27/15



COMMONWEALTH of VIRGINIA

Office of the Governor

Terence R. McAuliffe
Governor

October 27, 2015

The Honorable Julián Castro
Secretary of Housing and Urban Development
U.S. Department of Housing and Urban Development
451 7th Street S.W.
Washington, D.C. 20410

Dear Secretary Castro:

I am pleased to submit the Commonwealth of Virginia's application to the U.S. Department of Housing and Urban Development's (HUD) National Disaster Resilience Competition (NDRC). Our proposal, *ThRIVe—Resiliency in Virginia*, represents a collaborative effort to create long-lasting resilience at all levels by uniting communities in Hampton Roads around shared water challenges, improving economic vitality, and strengthening vulnerable neighborhoods by building social cohesion around water management solutions. This globally important region is already facing the effects of sea level rise, exacerbated by land subsidence and resulting in an area experiencing the impacts of climate change more rapidly than other coastal communities.

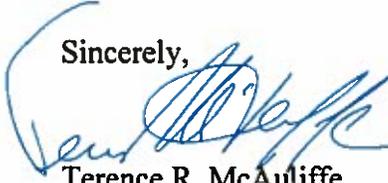
The larger Hampton Roads region is subject to the highest rate of relative sea level rise on the East Coast—14 inches since 1930. Conservative estimates project a 3-foot rise by 2100, putting more than one million Virginians in harm's way. Our economy will also face challenges from rising sea levels, with studies noting that a failure to mitigate the effects of climate change in Virginia could result in \$45.4 billion in lost GDP and cost more than 314,000 jobs by 2050.

Recognizing the priority of this issue for Virginia and our nation, shortly after taking office I named a chief resilience officer for the Commonwealth and established a *Climate Change and Resiliency Update Commission*, bringing together multiple state and federal agencies, local governments, institutions of higher education, community groups, and private sector partners to mobilize resilience efforts statewide. Our legislature also understands the critical nature of resilience and created a *Joint Subcommittee to Study Recurrent Flooding*, tasked with formulating recommendations for the development of a comprehensive and coordinated strategy to address recurrent flooding across Virginia.

The Honorable Julián Castro
October 27, 2015
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I strongly believe that Virginia's leadership in addressing the impacts of climate change by building resilience statewide, coupled with the importance of Hampton Roads and its residents for global commerce and defense, complements HUD's vision for the NDRC and positions us as the best choice for funding through this innovative program. We have a strong team of local, state, and other partners, a long history of successfully implementing HUD programs, and have developed a series of projects that will markedly improve the quality of life for the region's vulnerable populations. Your favorable consideration, in accordance with all applicable administrative procedures and legal requirements, is greatly appreciated.

Sincerely,



Terence R. McAuliffe

TRM/dpp